# PATENT COOPERATION TREATY

# **PCT**

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 2M/2AY03/7		FOR FURTHER A	CTION	See Form PCT/IPEA/416		
• •		International filing date	(day/month/year)	Priority date (day/month/year)		
PCT/NL2004/000244 13.04.2004				14.04.2003		
International Patent Classification (IPC) or national classification and IPC A01H1/04						
Applicant						
BEJO ZADEN B.V. et al.						
1.	This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.					
2.	This REPORT consists of a total of 8 sheets, including this cover sheet.					
3.	This report is also accompanied by ANNEXES, comprising:					
}	a.   sent to the applicant and	to the International Bure	au) a total of sheets	s, as follows:		
	sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).					
	sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the					
	Supplemental Box.  b. (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)), containing a					
	sequence listing and/or ta Box Relating to Sequence	bles related thereto, in o	computer readable for	rm only, as indicated in the Supplemental		
4.	4. This report contains indications relating to the following items:					
	☐ Box No. I Basis of the op	inion				
	☐ Box No. II Priority					
	☐ Box No. III Non-establishr	nent of opinion with rega	ard to novelty, inventi	ve step and industrial applicability		
	☐ Box No. IV Lack of unity o	f invention		·		
		ement under Article 35(2 tations and explanations		elty, inventive step or industrial tement		
	☐ Box No. VI Certain docum	ents cited				
	Box No. VII Certain defects	s in the international app	lication			
	☑ Box No. VIII Certain observ	ations on the internation	al application			
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Date of submission of the demand			Date of completion of	this report		
15.0	02.2005		28.06.2005			
Name and mailing address of the international preliminary examining authority:			Authorized Officer			
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# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/NL2004/000244

	Вох	No. I Basis of the report				
1.		With regard to the <b>language</b> , this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.				
		which is the language of a transitional search (und publication of the internal	slations from the original language into the following language, ranslation furnished for the purposes of: er Rules 12.3 and 23.1(b)) tional application (under Rule 12.4) examination (under Rules 55.2 and/or 55.3)			
2. With regard to the <b>elements*</b> of the international application, this report is based have been furnished to the receiving Office in response to an invitation under Artireport as "originally filed" and are not annexed to this report):			ving Office in response to an invitation under Article 14 are referred to in this			
	Description, Pages					
	1-30		as originally filed			
	Claims, Numbers					
	1-17		as originally filed			
		a sequence listing and/or an	y related table(s) - see Supplemental Box Relating to Sequence Listing			
3.	<ul> <li>□ The amendments have resulted in the cancellation of:</li> <li>□ the description, pages</li> <li>□ the claims, Nos.</li> <li>□ the drawings, sheets/figs</li> <li>□ the sequence listing (specify):</li> <li>□ any table(s) related to sequence listing (specify):</li> </ul>					
4.			ecify):			
	*	If item 4 annlies so	ome or all of these sheets may be marked "superseded "			

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

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Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

1-17

No: Claims

No:

Inventive step (IS)

Yes: Claims

No: Claims

1-17

Industrial applicability (IA)

Yes: Claims

Claims

1-17

2. Citations and explanations (Rule 70.7):

see separate sheet

#### Box No. VII Certain defects in the international application

The following defects in the form or contents of the international application have been noted:

see separate sheet

#### Box No. VIII Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

see separate sheet

#### Re Item V.

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement.

The following documents are referred to in this communication:

D1: WO 99/52345 A (PLANT BIOSCIENCE LTD) 21 October 1999 (1999-10-21)

D2: BRANCA FERDINANDO ET AL: "Survey of aliphatic glucosinolates in Sicilian wild and cultivated Brassicaceae" April 2002 (2002-04), PHYTOCHEMISTRY (OXFORD), VOL. 59, NR. 7, PAGE(S) 717-724, XP002289628 ISSN: 0031-9422

D3: WO03/004619 (Univ. California) 16 January 2003 (2003-01-16)

#### Novelty (Art. 33 (2) PCT)

Claims 1-17 do meet the requirements of Article 33 (2) PCT in that the matter for which protection is sought is new. The subject matter of independent claim 1 deals with the provision of a method for the generation of commercial Brassica oleracea plants with elevated levels of the anticarcinogenic glucosinolates Glucoiberin (3-methylsulphinylpropyl glucosinolate (3MSPG)) and/or Glucoraphanin (4-methylsulphinylbutyl glucosinolate (4MSBG)) by utilizing previously identified and selected commercial Brassica oleracea plants with an elevated level in said two glucosinolates as a starting material for breeding Brassica varieties. Such method has not been disclosed in the prior art.

#### Inventive Step (Art. 33 (3) PCT)

Document **D1** (WO9952345), which is considered to represent the most relevant state of the art, discloses a method for the selective increase of <u>both</u> anticarcinogenic glucosinolates 3MSPG and 4MSBG in <u>edible</u> Brassica vegetables (see page 1) by

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crossing selected high-glucosinolate <u>wild</u> Brassica oleracea species with commercial Brassica oleracea breeding lines thereby forming hybrids between commercial and wild Brassica species.

The difference between **D1** and the subject-matter of claim 1 is the use of <u>commercial</u> Brassica oleracea species selected for their high 3MSPG and/or 4MSBG-content instead of wild Brassica oleracea species as the <u>starting material</u> for breeding of edible Brassica varieties.

The problem to be solved by the present invention may therefore be regarded as the provision of alternative Brassica oleracea species exhibiting high 3MSPG and/or 4MSBG-content as starting material for breeding.

The solution is the provision of the selected Brassica oleracea varieties *Bordeaux*, *Wirosa*, *Belstar* and *Coronado*.

The solution proposed in claims 1 of the present application can not be considered as involving an inventive step (Article 33(3) PCT) for the following reasons. Document **D1** is already disclosing a method for the selective increase of both anticarcinogenic glucosinolates 3MSPG and/or 4MSBG in edible Brassica vegetables by crossing selected high-glucosinolate wild Brassica oleracea species with commercial Brassica oleracea breeding lines thereby forming hybrids between commercial and wild Brassica species, followed by the analysis of the F1-hybrids and the selection of those hybrids with elevated levels in said 3MSPG and 4MSBG. Faced with the identified problem of providing alternative Brassica oleracea species as starting material for breeding, the person skilled in the art would have come across the publication of B. Ferdinando, et al., Phytochemistry (D2), that discloses apart from wild Brassica species, also commercial Brassica oleracea species that exhibit elevated levels in either 3MSPG or 4MSBG (see Fig. 3 and page 722, left column, third paragraph, see lines No. 33 and 27) and can thus be used as alternative starting material for the same purpose. Further analysis of the levels of 3MSPG and 4MSBG in such commercial Brassica species can be done by techniques known in the art and also used in the current application, i.e. HLPC, which is disclosed in document D3 (see Example 1, page 34 and Table 6).

The use of such commercial Brassica oleracea plants is straightforward because they are

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even more closely related genetically with the crossing partners than the wild Brassica oleracea species, making it even easier to develop commercial Brassica oleracea varieties by plant breeding.

The claimed method consists entirely of natural phenomena such as crossing, interbreeding or selectively breeding by bringing together those plants having certain preferred characteristics/traits. Such processes are used by the ordinary breeder and the essence of said processes is <u>non-technical</u>.

Therefore the features disclosed in **D1** and **D2** would be combined by the skilled person, without exercise of any inventive skills in order to solve the problem posed. The proposed solution in independent claim 1 thus cannot be considered inventive (Article 33(3) PCT). Dependent claims 2-17 do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in respect of inventive step (Article 33(2) and (3) PCT).

#### Re Item VII.

Certain defects in the International Application.

#### Sufficiency of Disclosure and Support (Art. 5 + 6 PCT)

The description does not provide sufficient technical evidence to enable a skilled person to carry out the invention with respect to the subject-matter of claim 1 when interpreted in its broadest sense, i.e. extending to a method where <u>both</u> 3MSPG <u>and</u> 4MSBG are simultaneously elevated in Brassica oleracea.

The specification of the current application discloses the identification of commercial Brassica oleracea species with elevated levels in only <u>one</u> of the two glucosinolates 3MSPG and 4MSBG, respectively. Furthermore, there is no experimental proof provided